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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **011997-1020**  
First Named Inventor or Application Identifier **Tazwell L. Anderson, Jr.**  
Title **AUDIO/VIDEO SYSTEM AND METHOD UTILIZING A HEAD MOUNTED APPARATUS WITH NOISE ATTENUATION**  
Express Mail Label No. **EL445741391US**

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **29**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC d113) [Total Sheets **12**]
4. Oath or Declaration [Total Pages **2**]
  - a. ☒ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR \$1.63(d))  
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR \$1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identical of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR \$3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
if foreign priority is claimed
16. ☐ Other: \_\_\_\_\_

\*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: **09/322,411**.  
Prior application information: Examiner To Be Assigned Group / Art Unit: 2700/2747

## 18. CORRESPONDENCE ADDRESS

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**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Anderson, et al.

Examiner: To Be Assigned

Serial No.: To Be Assigned

Group No.: To Be Assigned

Filing Date: Herewith

For: AUDIO/VIDEO SYSTEM AND METHOD UTILIZING A HEAD MOUNTED  
APPARATUS WITH NOISE ATTENUATION

**CERTIFICATE OF EXPRESS MAIL**

Assistant Commissioner for Patents  
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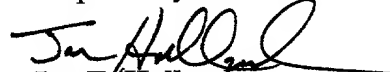
Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard  
Utility Patent Application Transmittal  
Fee Transmittal  
Utility Patent Application including:  
22 Pages of Specification  
6 Pages of Claims  
1 Page of Abstract  
12 Pages of informal drawings  
Declaration  
Assignment plus Assignment coversheet  
Small Entity Statement  
Check in the amount of \$420.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,

  
**Jon E. Holland**  
Registration No. 41,077

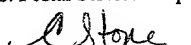
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Our Docket No: **011997-1020**

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Date: August 31, 1999

  
Carla Bridwell Stone

TO ALL WHOM IT MAY CONCERN

Be it known that we, Tazwell L. Anderson, Jr. of 3690 Northside Drive, Atlanta, Georgia 30305, and Mark A. Wood of 2839 Ponderosa Circle, Decatur, Georgia 30033, both citizens of the United States of America, have invented certain new and useful improvements in

a

**Audio/Video System and Method Utilizing a Head Mounted  
Apparatus with Noise Attenuation**

of which the following is a specification.

**Thomas, Kayden, Horstemeyer & Risley, LLP**  
**100 Galleria Parkway, N.W., Suite 1500**  
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**EXPRESS MAIL**

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Signature: *elstone*

# **AUDIO/VIDEO SYSTEM AND METHOD UTILIZING A HEAD MOUNTED APPARATUS WITH NOISE ATTENUATION**

## **CROSS REFERENCE TO RELATED APPLICATION**

5 This document is a continuation-in-part of and claims priority to copending non-provisional U.S. patent application entitled "Video/Audio System and Method Enabling a User to Select Different Views and Sounds Associated with an Event," assigned serial number 09/322,411, and filed May 28, 1999, which is incorporated herein by reference. This document also claims priority to and the benefit of the filing date of the following copending U.S. provisional applications: (1) "Audio/Video Signal Distribution System for Head Mounted Displays," assigned serial number 60/123,341, and filed March 8, 1999, which is hereby incorporated by reference; and (2) "Head Mounted Display with Sound Isolation/Hearing Protector Ear Cup," assigned serial number 60/137,323, and filed June 3, 1999, which is incorporated herein by reference.

## **BACKGROUND OF THE INVENTION**

## **FIELD OF THE INVENTION**

20 The present invention generally relates to video and audio signal processing techniques and, in particular, to a system and method for receiving video and audio signals from a plurality of sources and for providing a user with multiple combinations of these signals to select from.

**RELATED ART**

Audio and video signals are generated from a plurality of sources during many events. For example, at an auto race, television crews usually position cameras at various locations within view of a race track. These cameras generate video signals defining views of the race track from various perspectives. In addition, microphones positioned at various locations generate audio signals defining different sounds at the auto race. For example, microphones may be located close to the race track to receive sounds produced by the vehicles participating in the race, and microphones may be located close to television commentators to receive the comments of the commentators as they observe and comment on the race.

One of the video signals and one or more of the audio signals are usually selected and combined together at a television station to form a combined video/audio signal. This signal is then modulated and transmitted so that users having a television can receive the combined signal via the television. The television demodulates the combined signal and displays an image defined by the video signal on a display screen and reproduces the sounds defined by the audio signals via speakers. Therefore, the sights and sounds of the race can be viewed and heard via the television.

In addition, one or more of the audio signals, such as audio signals defining the comments of radio commentators, are usually selected and modulated at a radio station to form a radio signal. This radio signal is then transmitted as a wireless signal so that users having radios can receive the signal via a radio. The radio demodulates the signal and reproduces the sounds defined by the radio signal via speakers.

However, users viewing and/or hearing the sights and sounds of the race via televisions and/or radios are not usually given the opportunity to select which video and/or audio signals

are modulated and transmitted to the television and/or radio. Therefore, the user is only able to receive the signals modulated and transmitted to the television and/or radio, even though the user may prefer to receive the other audio and/or video signals that are generated at the auto race.

5 Spectators who actually attend the auto race are usually given more options to view and/or hear the sights and/or sounds of the race from different perspectives. In this regard, a plurality of monitors are usually located at particular locations in the stadium. As used herein, "stadium" shall be defined to mean any non-movable structure having a large number (*i.e.*, thousands) of seats, wherein an event occurs at (*i.e.*, within a close proximity of) the seats such  
10 that spectators sitting in the seats can view the event. An "event" is any occurrence viewed by a spectator.

Each monitor within the stadium receives one of the aforementioned video signals and displays an image defined by the received video signal to many of the spectators. However, the monitor does not always display a desirable perspective with respect to each spectator in the  
15 stadium, and the monitor is often not located in an inconvenient location for many of the spectators. In this regard, many of the spectators often must leave their seats (or other locations) in the stadium and go to a location where the spectators, along with other spectators, can view the monitor displaying the desired perspective. The spectators viewing the monitor often do not have control over which image is displayed by the monitor.

20 Thus a heretofore unaddressed need exists in the industry for providing a system and method that enables a spectator to conveniently view an event from different perspectives.

## SUMMARY OF THE INVENTION

The present invention overcomes the inadequacies and deficiencies of the prior art as discussed hereinbefore. Generally, the present invention provides a system and method for providing a user with a plurality of audio and video signals defining different views and sounds associated with an event while reducing the amount of external noise heard by the user.

The present invention includes a display device, a head mount, noise reduction devices, and a speaker. The display device is coupled to the head mount and produces visual images based on received video signals. The head mount is mounted on the user's head and is coupled to the noise reduction devices, which cover the user's ears such that external noise is reduced.

The noise reduction devices are coupled together via a strap that fits around the user head. The noise reduction devices are coupled to and house speakers that produce sound signals based on received audio signals. As a result, the user may see the video images produced by the display device and the sounds produced by the speaker, and the external noise heard by the user is reduced.

In accordance with another feature of the present invention, the head mount has ridges formed thereon, and the noise reduction devices include notches. Once the noise reduction devices are properly positioned, the ridges are received by the notches, and the noise reduction device is, therefore, less likely to move with respect to the head mount.

Other features and advantages of the present invention will become apparent to one skilled in the art upon examination of the following detailed description, when read in conjunction with the accompanying drawings. It is intended that all such features and advantages be included herein within the scope of the present invention and protected by the claims.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention can be better understood with reference to the following drawings. The elements of the drawings are not necessarily to scale relative to each other, emphasis instead  
5 being placed upon clearly illustrating the principles of the invention. Furthermore, like reference numerals designate corresponding parts throughout the several views.

FIG. 1 is a block diagram illustrating a video/audio system in accordance with the present invention.

FIG. 2 is a block diagram illustrating a detailed view of an interface device depicted in

10 FIG. 1.

FIG. 3 is a block diagram illustrating a detailed view of a receiver depicted in FIG. 1.

FIG. 4 is a three dimensional view of a conventional head mounted display.

FIG. 5 is a side view illustrating the conventional head mounted display depicted in  
FIG. 4.

15 FIG. 6 is a three dimensional view of a head mounted display in accordance with the present invention.

FIG. 7A is a side view of the noise reduction device of the head mounted display depicted in FIG. 6.

FIG. 7B is a front view of the noise reduction device of the head mounted display  
20 depicted in FIG. 6.

FIG. 7C is a bottom view of the noise reduction device of the head mounted display depicted in FIG. 6.



FIG. 8 is a more detailed view of the left temple piece of the head mounted display depicted in FIG. 6.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

5       The preferred embodiment of the present invention will be described hereafter in the context of auto racing applications. However, the scope of the present invention should not be so limited, and it should be apparent to one skilled in the art that the principles of the present invention may be employed in the context of other applications, particularly in the context of other sporting events (*e.g.*, football games, basketball games, baseball games, hockey matches,  
10   *etc.*).

FIG. 1 depicts a video/audio system 20 implementing the principles of the present invention. At least one video signal 22 and at least one audio signal 25 are received by an interface device 28. Each of the received video signals 22 defines a view of the race from a different perspective. For example, the video signals 22 may be generated by different video  
15   cameras located at different locations around the stadium, including inside at least some of the vehicles participating in the race.

Furthermore, each of the audio signals 25 defines different sounds associated with the race. For example, at least one of the audio signals 25 may be generated from a microphone located close to the track or in one of the vehicles such that the audio signal 25 defines noise  
20   from the vehicles participating in the race. Alternatively, at least one of the audio signals 25 may define the comments of television commentators, and at least one of the audio signals 25 may define the comments of radio commentators. Furthermore, at least one of the audio signals

25 may define the comments between one of the drivers participating in the race and the driver's pit crew.

Some of the video and audio signals 22 and 25 can be unmodulated when transmitted to the interface device 28 and, therefore, do not need to be demodulated by the system 20.

5 However, some of the video and audio signals 22 and 25 may need to be demodulated by the system 20. For example, at least one of the audio signals 25 defining the comments of the radio commentators may be modulated as a radio signal for transmission to radios located at or away from the stadium, and at least one of the video signals 25 may be modulated as a television signal for transmission to televisions located at or away from the stadium. In addition, the  
10 comments between a driver and the driver's pit crew are usually transmitted via ultra high frequency (UHF) radio waves, which are known to be modulated signals. Therefore, as shown by FIG. 1, the system 20 preferably includes demodulators 32 configured to receive and demodulate the video and/or audio signals 22 and 25.

It is possible for some of the video and audio signals 22 and 25 to be received from a  
15 combined signal 35, which is comprised of at least one video signal 22 combined with at least one audio signal 25. For example, the combined signal 35 may be a television signal modulated for transmission to televisions located at or away from the track stadium. To facilitate the combination of different audio signals 25 with the video signal(s) 22 defined by the combined signal 35, a separator 37 preferably separates the combined signal 35 into its  
20 respective video signal 22 and audio signal 25, as shown by FIG. 1.

Various configurations of the separator 37 may exist without departing from the principles of the present invention. FIG. 1 depicts a possible implementation of the separator 37. In this regard, the separator 37 includes an audio signal filter 41 designed to filter out any

audio signals 25 from the combined signal 35 and to transmit the resulting video signal(s) 22 to interface device 28. Furthermore, the separator 37 also includes a video signal filter 43 designed to filter out any video signals 22 from the combined signal 35 and to transmit the resulting audio signal(s) 25 to interface device 28. If more than one video signal 22 or more than one audio signal 25 is included in the combined signal 35, then the separator 37 may include additional filters (not shown) to separate the multiple video and/or audio signals 22 and 25 into individual signals before transmitting the signals 22 and 25 to the interface device 28.

FIG. 2 depicts a more detailed view of the interface device 28. The interface device 28 includes audio combiners 52 configured to receive audio signals 25 and to combine the received audio signals 25 into a single combined audio signal 55. As shown by FIG. 2, each audio combiner 52 preferably receives a different combination of audio signals 25, although it is possible for any one of the combined signals 55 to include the same combination of audio signals 25 as any other combined signal 55. Note that when an audio combiner 52 receives only one audio signal 25, the combined signal 55 output by the combiner 52 matches the one signal 25 received by the combiner 52.

As an example, one of the combined signals 55 may include an audio signal 25 defining comments between a driver and the driver's pit crew and also an audio signal 25 defining sounds (*i.e.*, vehicular noises) received by a microphone located in the driver's vehicle.

Another of the combined signals 55 may include the aforementioned audio signals 25 as well as an audio signal 25 defining a radio commentator's comments. Another combined signal 55 may only include an audio signal 25 defining a television commentator's comments.

Accordingly, the combined signals 55 preferably define different combinations of sounds. It

should be noted that combinations of audio signals 25 other than those described hereinabove are possible.

As shown by FIG. 2, each combined signal 55 is transmitted to a respective signal modulator 61. Each signal modulator 61 is also configured to receive a respective one of the video signals 25 received by the interface device 28. Each signal modulator 61 is configured to combine the received combined signal 55 and video signal 25 and to modulate the received signals 55 and 25 on a unique frequency range. The signal modulator 61 is then designed to transmit the modulated signal 64, which comprises the combined signal 55 and the video signal 25 received by the signal modulator 61, to a combiner 67. The combiner 67 is configured to combine each of the modulated signals 64 transmitted from each of the signal modulators 61 into a single combined (*i.e.*, multiplexed) signal 71. This combined signal 71 is then transmitted to a plurality of receivers 75.

Various techniques exist for transmitting combined signal 71 to receivers 75. For example, a coaxial cable may be used to transmit the combined signal 71 to each of the receivers 75. In another example, the system 20 may include a wireless transmitter (not shown) that transmits the combined signal 71 to the receivers 75. Any technique for transmitting the combined signal 71 to the receivers 75 should be suitable for implementing the present invention.

A more detailed view of receiver 75 is shown by FIG. 3. Receiver 75 preferably includes a demodulator 82. The demodulator 82 is configured to demodulate the combined signal 71 and to separate (*i.e.*, demultiplex) the combined signal 71 into signals 84 based on frequency, such that each signal 84 respectively corresponds with one of the modulated signals 64. In other words, the demodulator 82 recovers the individual signals 64 as signals 84, and

each signal 84 is, therefore, defined by the same video and audio signals 22 and 25 that define its corresponding modulated signal 64. Therefore, like modulated signals 64, each signal 84 is preferably comprised of a unique combination of video and audio signals 22 and 25.

Signals 84 are transmitted from demodulator 82 to a multiplexer 88, which also receives control signals 92 from a user interface 94. The user interface 94 preferably includes buttons or other types of switches that enable a spectator to select one of the signals 84 via control signals 92. In this regard, the multiplexer 88, through techniques well known in the art, selects one of the signals 84 based on control signals 92 and outputs the selected signal 84 as output signal 97, as shown by FIG. 3.

The receiver 75 includes an audio signal filter 41 configured to filter the audio signal(s) 25 out of signal 97. Therefore, only the video signal(s) 22 within signal 97 are transmitted to a display screen 101, which is configured to render the received video signal(s) 22 (*i.e.*, display an image defined by the received video signal(s) 22) to the spectator.

The receiver 75 also includes a video signal filter 43 configured to filter the video signal(s) 22 out of signal 97. Therefore, only the audio signal(s) 25 within signal 97 are transmitted to a speaker 103, which is configured to produce sounds defined by the received audio signal(s) 25, through techniques well known in the art.

In the preferred embodiment, the display screen 101 and speaker 103 are included within a head mounted display (HMD), which is discussed in further detail hereinbelow. By utilizing head mounted displays, the spectator's experience may be enhanced. For example, when a head mounted display is used to show an in-car view from a camera located in a driver's car during an auto race, the spectator sees a similar view as the driver of the car. Because the

head mounted display limits the spectator's peripheral view of the environment around him, the user naturally focuses on the view provided by the head mounted display. Therefore, the user may feel almost as if he were riding in the car along with the driver, thereby enhancing the spectator's experience. The head mounted display may similarly enhance a spectator's  
5 experience at other events, such as other sporting events, for example.

Furthermore, when the combined signal 71 is transmitted via a coaxial cable, the receiver 75 may be located at a spectator's stadium seat or other convenient location. When the combined signal 71 is transmitted via a wireless transmitter, the receiver 75 is portable, and a spectator may carry the receiver 75 with him and choose where he would like to view the  
10 images and hear the sounds produced by the receiver 75.

Accordingly, the spectator may remain in his seat (or other convenient location) and control, by manipulating buttons or other types of switches in the user interface 94, which combination of video and audio signals 22 and 25 are respectively transmitted to display screen 101 and speaker 103. Therefore, the system 20 gives the spectator more flexibility in how the  
15 spectator views the race and, as a result, makes the race a more enjoyable experience.

It should be noted that video signals 22 and audio signals 25 may be separately transmitted to receiver 75. For example, video signals 22 may be processed and transmitted to receiver 75 via interface device 28 or other type of device, and audio signals 25 may be transmitted to receiver 75 via another device. Through conventional techniques, the receiver 75  
20 may then be configured to select the audio and video signals 25 and 22 to be transmitted to display device 101 and speaker 103.

## **Head Mounted Displays**

Many different types of head mounted displays may be employed to implement the present invention. Examples of head mounted displays that may be used to implement the present invention are fully described in U.S. Patent No. 5,844,656, entitled "Head Mounted Display with Adjustment Components" and filed on November 7, 1996, by Ronzani *et al.*, and U.S. Patent No. 5,903,395, entitled "Personal Visual Display System," and filed on August 31, 1994, by Rallison *et al.*, which are both incorporated herein by reference. FIGS. 4 and 5 depict a head mounted display (HMD) 151 described by U.S. Patent No. 5,903,395.

As depicted in FIG. 4, the HMD 151 includes a main component 152, containing electronics or optics used to provide a visual display to the spectator. The HMD 151 also includes left and right temple pieces 154a and 154b that may be used for assisting and holding the main portion 152 in the desired position to deliver video output to the spectator's eyes. A strap 156 can be provided to further assist in holding the apparatus in the desired position with respect to the head 158 of the spectator. A forehead brace 161 can be provided to further assist in proper positioning of the main portion 152. The forehead brace 161 is useful to transfer some of the weight of the apparatus to the spectator's forehead. This may provide a more comfortable configuration than having substantially all of the weight transferred via other components such as the temple pieces 154a and 154b, headstrap 156 and/or a nose bridge piece (not shown) that may be used in other types of HMDs.

As can be seen in FIG. 5, the forehead brace 161 extends back a distance 165 from the main portion 152 of the apparatus. As a result, there is an amount of space 166 between the eye position 167 of the spectator and the portion 168 of the apparatus which resides in front of the

spectator's eyes sufficient to accommodate the spectator's eyeglasses, *e.g.*, about one inch or more (in one embodiment, about 25 mm).

A connection is provided for establishing communication or data transfer to the HMD 151 which, in the depicted embodiment, involves a cable 171 mounted along the underside of the left temple piece 154b. As an example, the demodulator 82 (FIG. 3), multiplexer 88, and user interface 94 may be included in a device separate from the HMD 151 shown by FIGS. 4 and 5. The cable 171 may transmit the signals 97 (FIG. 3) to the filters 41 and 43, which are located in the main portion 152. The filtered signals from filters 41 and 43 may be respectively transmitted to display device 101 (FIG. 3) and speaker 103 (FIG. 3) via other cables or other types of connections.

As can be seen by FIGS. 4 and 5, speakers 103a and 103b are respectively provided for each ear of the spectator. Furthermore, the display device 101 (FIG. 3) is comprised of two liquid crystal displays (LCDs) 175 that receive video signals and produce images based on the received video signals through techniques well known in the art. Each of the LCDs 175 is positioned in front of a respective eye of the spectator so that each eye of the spectator views an image produced by one of the LCDs 175.

In the depicted embodiment, a rocker switch 179 can be used to provide control of a parameter which varies through a range, such as the volume of the sound produced by the speakers 103a and 103b. Other items that could be controlled in this fashion include, but are not limited to, tint, hue or contrast of the video, selection of a video and/or audio source such as channel selection, image brightness, audio tone (*i.e.*, treble/bass control) and the like. A slider switch 181 can be used, *e.g.*, to select among discrete choices. For example, the slider switch 181 may be used to select left, right or no relative frame phasing, to select between stereo and



non-stereoscopic views, *etc.* Other controls and/or indicators can also be used and can be mounted on various surfaces of the head-mounted apparatus of FIG. 4.

Left speaker 103a is movably attached to the end of the temple piece 154a, *e.g.*, by pivotable arm 185a which can be laterally adjusted to a mounting slot 188a in temple piece 154a. The speaker 103a can be held in position by friction or a detent tightener 189 can be used to secure the speaker 103a in the desired position. Right speaker 103b is similarly secured to temple piece 154b. Cables 191a and 191b are respectively used in the HMD 151 of FIG. 4 to provide the desired signals to the speakers 103a and 103b, respectively. The head strap 156 is preferably coupled to the temple pieces 154a and 154b via left and right strap pivots, loops or D-rings 195a and 195b. A length and/or tightness adjustment mechanism such as a buckle, for example, can be provided on the strap 156.

At many sporting events (*e.g.*, auto races, in particular), relatively loud noises are produced. Therefore, it would be difficult for a user to hear the selected audio signals via many conventional head mounted displays, such as the one depicted by FIGS. 4 and 5. Accordingly, the inventors have designed a HMD 250 that includes noise reduction devices 252a and 252b to reduce the amount of external noise heard by a spectator, as shown by FIG. 6.

Similar to HMD 151 of FIG. 4, HMD 250 includes temple pieces 259a and 259b that are connected to main portion 152. Main portion 152 and temple pieces 259a and 259b form a head mount to which other components of the HMD 151 can be coupled. Each noise reduction device 252a and 252b is similarly designed and is respectively coupled to the temple pieces 259a and 259b such that each device 252a and 252b fits over a respective ear of a spectator during use.

In this regard, each noise reduction device 252a and 252b forms a cup-shaped shell having a recess 267 (FIGS. 7A-7D). Preferably, a padded cushion 271, such as the one described in U.S.

Patent No. 4,856,118 entitled "Headphone Cushioning," which is incorporated herein by reference, is positioned at the mouth of each device 252a and 252b as shown by FIGS. 7A-7D so that the noise reduction devices 252a and 252b comfortably engage the spectator's head during use. When device 252a or 252b is engaged with the spectator's head, the spectator's ear fits into the recess 267, and the engaged device 252a or 252b blocks external noises from reaching the ear. Therefore, devices 252a and 252b are similar to the earcup described in U.S. Patent No. 5,023,955, entitled "Impact-Absorbing Sound-Attenuating Earcup," filed on April 13, 1989, by Murphy, II *et al.*, which is incorporated herein by reference.

Similar to U.S. Patent No. 5,018,599, entitled "Headphone Device," and filed on September 18, 1989, by Masahiro *et al.*, which is incorporated herein by reference, each noise reduction device 252a and 252b is respectively coupled to and houses speakers 103a and 103b. The speakers 103a and 103b are respectively coupled to cables 191a and 191b, and produce sound corresponding to the audio signals transmitted via cables 191a and 191b. Consequently, in use, external noises are attenuated, yet the spectator can clearly hear the selected audio signals produced by the speakers 103a and 103b.

Device 252a will be described in more detail hereafter. However, it should be apparent to one skilled in the art that device 252b includes the same features of device 252a except that device 252b is coupled to temple piece 259b (instead of piece 259a) and is designed to cover the spectator's opposite ear.

Referring to FIGS. 7A, 8A, and 8B, the device 252a preferably includes a member 281 having a slot 283 adapted to receive temple piece 259a. The member 281 also includes a hole 286. In operation, the temple piece 259a passes through slot 283, and a securing member 288 (FIG. 9), such as a bolt or a screw for example, passes through the hole 286 and passes through a

slot 264 (FIG. 9) in the temple piece 259a. The securing member 288 is preferably secured to the temple piece 259a and the member 281 via any suitable technique. For example, the securing member 288 may be screwed through the member 281 via hole 286, and the securing member 288 may also be screwed through a piece 291 (FIG. 9) located on a side of the temple piece 259a opposite of the member 281. Therefore, the member 281 is secured to the temple piece 259a via the securing member 288. However, it should be apparent to one skilled in the art that other devices and methodologies may be employed to secure the member 281 to temple piece 259a.

As shown by FIG. 10, a ridge 301 is formed on a side of the temple piece 259a that engages a wall 299 (FIG. 7A) of slot 283, when the temple piece 259a is received by slot 283. As shown by FIG. 7A, a portion of the wall 299 of slot 283 includes a series of notches 303 that are each capable of receiving the ridge 301. When the ridge 301 is received by a notch 303, as shown by FIG. 9, any force tending to move the device 252a relative to the temple piece 259a in the x-direction causes the ridge 301 to press against a portion of the wall 299 forming the notch 303, until the device 252a is pushed with a force sufficient to deform a flexible portion 311 of temple piece 259a. The flexible portion 311 is positioned adjacent to slot 312 to allow the flexible portion 311 to deform away from the surface 299. Once this occurs, the ridge 301 moves past the notch 303 more easily, allowing the temple piece 259a to move relative to member 281 and, therefore, device 252a. The flexible portion 311 preferably has sufficient elasticity to return to its undeformed state once the ridge 301 moves past the notch 303. Therefore, after moving past the aforementioned notch 303, the ridge 301 should engage a portion of the wall 299 forming another notch 303.

Therefore, the user can slide the device 252a in the x-direction along the length of the temple piece 259a causing the ridge 301 to be received by different notches 303 until the device

252a is properly positioned relative to the spectator's head (*i.e.*, until the spectator's ear is comfortably positioned within the recess 267 of the device 252a). Once the spectator stops sliding the device 252a and the ridge 301 is received by one of the notches 303, the position of the device 252a relative to the temple piece 259a and, therefore, the spectator's head should remain constant until a force sufficient for deforming the flexible portion is exerted on the HMD 250.

As shown by FIG. 6, the device 252a is preferably fastened to an end of the strap 156. Therefore, each end of the strap 156 is coupled to each of the noise reduction devices 252a and 252b. To fasten the devices 252a and 252b to the strap 156, each device 252a and 252b may include a clip, clasp, loop, ring 304 or other type of fastening device. The length of the strap 156 can be adjusted via conventional techniques to adjust the size of the HMD 250. Therefore, to don the HMD 250, a user places the forehead brace 161 (FIG. 6) against his forehead and positions the strap 156 around the back of his head. The spectator then tightens the strap 156 (*i.e.*, reduces the length of the strap 156) until the HMD 250 is comfortably held in place. The spectator adjusts the position of the devices 252a and 252b by respectively sliding the devices 252a and 252b along the length of the temple piece 259a and 259b in the x-direction until the devices 252a and 252b are properly positioned. Then, the spectator can further tighten the strap 156 as desired to further press the forehead brace 161 and the devices 252a and 252b against the spectator's head.

In this regard, tightening the strap 156 reduces the circumference of the HMD 250 thereby pressing each device 252a and 252b and the forehead brace 161 further against the spectator's head. To a certain degree, as the strap 156 is tightened, external noise is better attenuated, and it is less likely that the HMD 250 will move with respect to the spectator's head. Accordingly, the spectator can tighten or loosen the strap 156 as desired until the desired fit and desired noise reduction is achieved.

It should be noted that it is possible to swap the position of ridge 301 with notches 303. In other words, it is possible to form ridge 301 on a flexible portion of device 252a and to form the grooves 303 in the temple piece 259a without materially affecting the performance or operation of the HMD 250.

5

### OPERATION

The preferred use and operation of the video/audio system 20 and associated methodology are described hereafter.

Assume for illustrative purposes that a spectator would like to attend an auto race and would like to have access to an in-car view from a camera within his favorite driver's car. In addition, the spectator would also like to continuously hear the dialogue between the aforementioned driver and the driver's pit crew, as well as the comments provided by his favorite radio commentator. It should be apparent that other views and/or sounds may be desirable in other examples.

In the past, the spectator would attend the race and acquire (as well as tune) a radio to receive the commentator's comments and a radio to receive the radio signals transmitted between the driver and the driver's pit crew. Then, the spectator would locate a monitor at the stadium displaying the in-car view that he desires to see, assuming that such a monitor is provided. The spectator would then remain within sight of the monitor and listen to the two radios. If the monitor is not located in a desirable location for viewing the race, the spectator would have to choose between viewing the monitor and viewing the race at a desirable location. Furthermore, the handling of multiple radios is generally cumbersome and distracting.

However, in accordance with the present invention, the user attends the race and is provided a receiver 75 for his individual use. In the preferred embodiment, the receiver 75 is located at the spectator's seat within the stadium. However, the receiver 75 may be located at other convenient locations, and when the combined signal 71 is transmitted via a wireless transmitter, the spectator may carry the receiver 75 around with him to any desirable location in or around the stadium.

The receiver preferably includes the HMD 250 depicted by FIG. 6. Therefore, the spectator dons the HMD 250 such that the forehead brace 161 is pressed against his forehead and each noise reduction device 252a and 252b covers one of the spectator's ear. Then, the spectator adjusts the length of the strap 156 until the HMD 250 properly fits about his head. The spectator then manipulates buttons or other types of switches at user interface 94 to control which signal 84 is output by multiplexer 88 and, therefore, which signals 22 and 25 are transmitted via cable 171 to the HMD 250. Through techniques known in the art, images defined by the video signals transmitted along cable 171 are shown by display device 101 (e.g., LCDs 175), and sounds defined by the audio signals transmitted along cable 171 are produced by speakers 103a and 103b, which are respectively located within devices 252a and 252b. Accordingly, the spectator may use the receiver 75 to see the desired view of the race (*i.e.*, the in-car view) and to hear the desired sounds of the race (*i.e.*, the dialogue between the driver and the driver's pit crew, and the comments from the radio commentator).

In this regard, the interface device 28 preferably receives at least a video signal 22 defining the in-car view of his favorite driver and a plurality of audio signals 25 defining the dialogue between his favorite driver and the driver's pit crew, as well as the comments from his favorite radio commentator. At least one of the audio combiners 52 combines these audio

signals 25 into a combined signal 55. One of the signal modulators 61 receives this combined signal 55 and the video signal 22 defining the desired in-car view. This video signal 22 is modulated and combined with the foregoing combined signal 55 by one of the signal modulators 61 to create a modulated signal 64. This modulated signal 64 is combined with other modulated signals 64 and transmitted to the spectator's receiver 75 via combiner 67.

The demodulator 82 in the spectator's receiver 75 demodulates and separates the received signal 71 into separate signals 84. Based on the control signals 92 received from user interface 94, the multiplexer 88 allows only the signal 84 defined by the aforementioned video and audio signals 22 and 25 to pass. Therefore, these video and audio signals 22 and 25 are respectively transmitted to the display device 101 and speakers 103a and 103b and the spectator may enjoy the view and sounds that he selected.

It should be noted that it is not necessary for the spectator to keep the receiver 75 within the stadium. In this regard, the signal 71 may be transmitted via satellites and/or communication networks to various locations around the world, and the spectator may select the view and sounds he prefers the most from just about any location capable of receiving signal 71.

It should also be noted that the receiver 75 may be retrieved from the spectator after the spectator is finished viewing the event so that the receiver can be provided to another spectator for another event at the stadium. Each spectator is preferably charged a usage fee for the spectator's use of the receiver 75. It should be noted that a portion of the receiver 75 may be installed at the spectator's seat such that user only needs to retrieve the HMD 151 and/or other components of the receiver 75 during the event and return the retrieved components after the

event. Furthermore, the entire receiver 75 may be installed at the spectator's seat such that spectator only needs to pay for the use of the receiver.

In addition, it may be desirable for one of the audio signals 25 to have a higher amplitude than the other audio signals 25. For example, a spectator may desire to hear comments from a radio commentator unless a communication between his favorite driver and the driver's pit crew occurs. When the a communication between the driver and the driver's crew occurs, the spectator would rather listen to this communication instead of the radio commentator's comments.

Accordingly, one of the audio combiners 25 is preferably used to combine a first audio signal 25 defining the radio commentator's comments and a second audio signal defining the communications between the driver and the driver's pit crew preferably increases the amplitude of the second audio signal 25 relative to the first audio signal. This may be accomplished by increasing the amplitude of the second audio signal 25 with an amplifier or by attenuating the amplitude of the first audio signal 25 with an attenuator. Therefore, when the combined signal 55 produced by the aforementioned audio combiner 52 is ultimately received by the spectator's receiver 75, which produces sound based on this combined signal 55, the user hears the radio commentator's comments when there is no communication between the driver and the driver's crew. However, when there is a communication between the driver and the driver's crew, this communication is louder than the radio commentator's comments. Accordingly, the spectator can clearly hear the communications between the driver and the driver's crew even though the spectator's ability to clearly hear the radio commentator's comments is impaired. It should be noted that the foregoing techniques for increasing the amplitude of one audio signal 25 relative



to others may be employed for different combinations of audio signals 25 and is not limited to the exemplary combination described above.

Furthermore, it should also be noted that the present invention has been described herein in the context of auto racing. However, the system 20 may be useful in other applications as well. The system 20 would be useful in any application where it is desirable for the user to control the types of views and sounds of an event that are presented to the user. For example, the present invention could be particularly useful in any type of sporting event or other type of event attended by a large number of people.

It should be emphasized that the above-described embodiments of the present invention, particularly, any “preferred” embodiments, are merely possible examples of implementations, merely set forth for a clear understanding of the principles of the invention. Many variations and modifications may be made to the above-described embodiment(s) of the invention without departing substantially from the spirit and principles of the invention. All such modifications and variations are intended to be included herein within the scope of the present invention and protected by the claims.

CLAIMS

Now, therefore, the following is claimed:

- 1           1.       An audio/video system for providing different combinations of audio and video  
2 signals associated with an event, comprising:  
3               an interface device configured to receive a plurality of video signals associated with said  
4 event, said interface device configured to modulate said video signals and to transmit said  
5 modulated video signals; and  
6               a receiver configured to receive said modulated video signals and to receive modulated  
7 audio signals, each of said modulated audio signals associated with said event, said receiver  
8 configured to demodulate said modulated video and audio signals and to select one of said  
9 video signals and one of said audio signals based on inputs from a user, said receiver including  
10 a head mounted apparatus, said head mounted apparatus comprising:  
11               a display device configured to receive said one video signal and to produce  
12 images defined by said one video signal;  
13               a head mount coupled to said display device such that said images are visible to  
14 a user when said head mount is engaged with said user's head;  
15               a first noise reduction device coupled to said head mount, said first noise  
16 reduction device having a first recess and positioned such that said first noise reduction device  
17 engages said user's head when said head mount is engaged with said user's head, said first  
18 noise reduction device further positioned such that an ear of said user is located within said first  
19 recess when said first noise reduction device engages said user's head;

20 a second noise reduction device coupled to said head mount, said second noise  
21 reduction device having a second recess and positioned such that said second noise reduction  
22 device engages said user's head when said head mount is engaged with said user's head, said  
23 second noise reduction device further positioned such that another ear of said user is located  
24 within said second recess when said second noise reduction device engages said user's head;

25 a first speaker coupled to said first noise reduction device and configured to  
26 produce sounds defined by said one audio signal; and

27 a strap coupled to said first and second noise reduction devices.

1 2. The system of claim 1, wherein said strap has an adjustable length.

1 3. The system of claim 1, further comprising a second speaker coupled to said  
2 second noise reduction device and configured to produce sounds defined by said one audio  
3 signal.

1 4. The system of claim 1, wherein:  
2 said first noise reduction device includes a first slot adapted to receive said head mount,  
3 said first slot defined by a wall of said first noise reduction device, said wall of said first noise  
4 reduction device including a series of first notches, said head mount having a first ridge that is  
5 sequentially received by said first notches as said head mount passes through said first slot; and  
6 said second noise reduction device includes a second slot adapted to receive said head  
7 mount, said second slot defined by a wall of said second noise reduction device, said wall of  
8 said second noise reduction device including a series of second notches, said head mount

9 having a second ridge that is sequentially received by said second notches as said head mount  
10 passes through said second slot.

1 5. The system of claim 4, wherein said first ridge is formed on a flexible portion of  
2 said head mount that deforms when said first ridge is sufficiently pressed against said wall of  
3 said first noise reduction device, and wherein said second ridge is formed on a flexible portion  
4 of said head mount that deforms when said second ridge is sufficiently pressed against said wall  
5 of said second noise reduction device.

1 6. The system of claim 1, wherein said event is an auto race and said one video  
2 signal defines an image produced by a camera positioned within a vehicle participating in said  
3 auto race.

1 7. The system of claim 6, wherein said one audio signal defines a communication  
2 by a driver of said vehicle.

1           8.     An audio/video system for providing different combinations of audio and video  
2 signals associated with an event, comprising:

3           a means for receiving audio and video signals associated with said event and for  
4 selecting one of said audio signals and one of said video signals based on inputs from a user;

5           a video means for receiving said one video signal and for producing images defined by  
6 said one video signal;

7           a head mount coupled to said video means such that said images are visible to a user  
8 when said head mount is engaged with said user's head;

9           a first means for reducing external noise, said first reducing means coupled to said head  
10 mount;

11           a second means for reducing external noise, said second reducing means coupled to said  
12 head mount;

13           an audio means for receiving said one audio signal and for producing sounds defined by  
14 said one audio signal, said audio means coupled to said first reducing means; and

15           a strap coupled to said first and second reducing means.

1           9.     The system of claim 8, wherein said strap has an adjustable length.

1           10.    The system of claim 8, wherein said first and second reducing means each  
2 include a recess, said apparatus further comprising a means for positioning said first and second  
3 reducing means such that said user's ears are respectively located in said recesses of said first  
4 and second reducing means.

11. The system of claim 8, wherein said event is an audio race and said one video signal defines an image produced by a camera positioned within a vehicle participating in said auto race.

12. A method, comprising the steps of:

providing a user with a head mounted apparatus, said head mounted apparatus including a display device, noise reduction devices, and a speaker, said speaker coupled to one of said noise reduction devices, each of said noise reduction devices having a recess;

generating a plurality of video signals and audio signals at an event, each of said video signals defining a different view of said event and each of said audio signals defining a different sound associated with said event;

transmitting said plurality of video and audio signals to a receiver;

selecting at said receiver one of said video signals and one of said audio signals;

transmitting said one video signal to said display device;

transmitting said one audio signal to said speaker; and

mounting said head mounted apparatus on said user's head, said mounting step including the steps of:

engaging said noise reduction devices with said user's head; and

positioning said noise reduction devices such that each ear of said user is respectively located in one of said recesses.

1           13.    The method of claim 11, wherein said positioning step includes the step of  
2    passing a ridge formed in said apparatus through a series of slots formed in said apparatus.

1           14.    The method of claim 11, wherein each of said noise reduction devices includes a  
2    slot defined by a wall having a series of notches, said positioning step including the step of  
3    sliding a ridge of said head mounted apparatus through said slot such that said ridge is  
4    sequentially received by said notches.

1           15.    The method of claim 14, further comprising the step of deforming a portion of  
2    said head mounted apparatus defining said ridge.

1           16.    The method of claim 11, wherein said event occurs at a stadium attended by said  
2    user during said event.

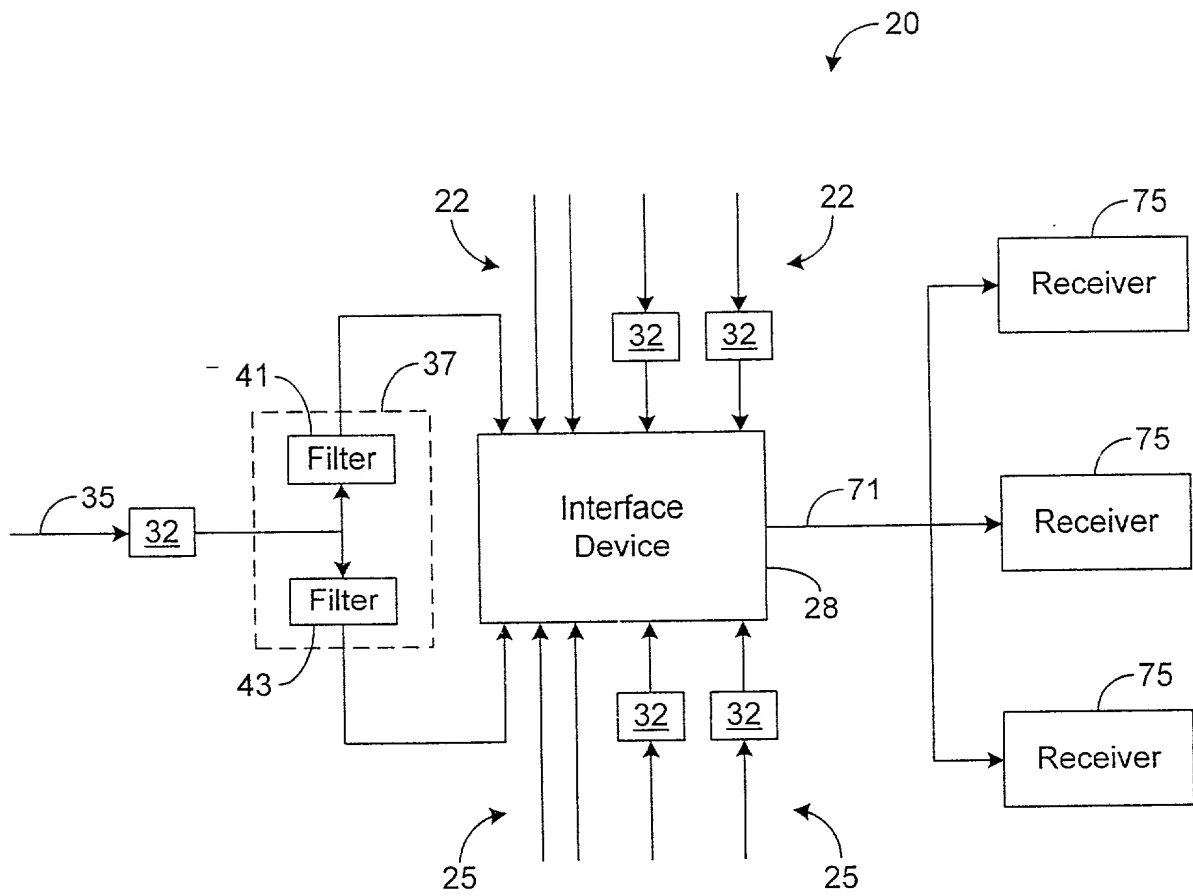
1           17.    The method of claim 16, wherein said event is an auto race and wherein said  
2    method further comprises the step of defining, via said one video signal, an in-car view from a  
3    vehicle participating in said auto race.

1           18.    The method of claim 17, further comprising the step of defining, via said one  
2    audio signal, a communication by a driver of said vehicle.

**ABSTRACT OF THE DISCLOSURE**

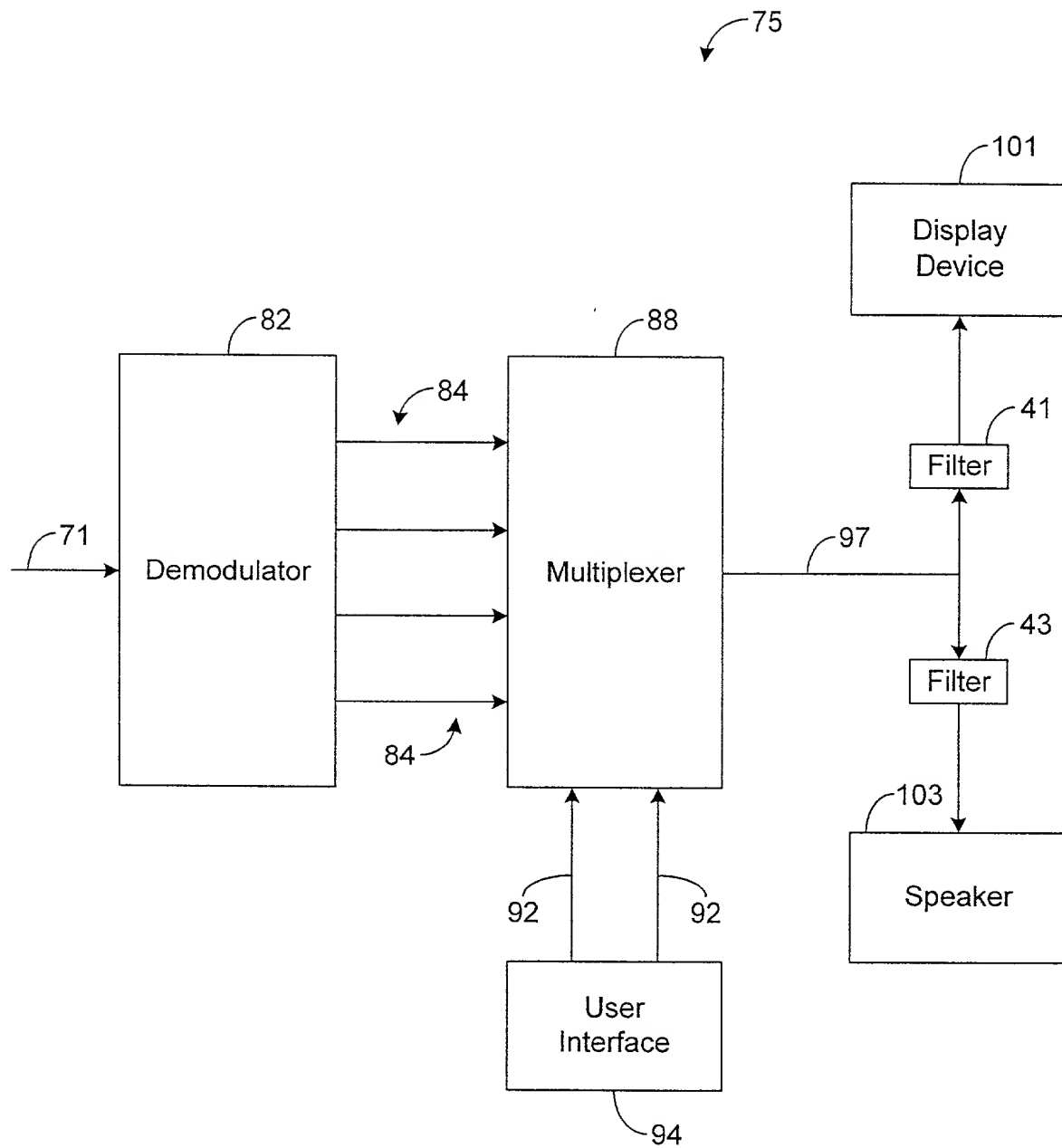
A head mounted display in a video/audio system includes a display device, a head mount, noise reduction devices, and a speaker. The display device is coupled to the head mount and produces visual images based on received video signals. The head mount is mounted on the user's head and is coupled to the noise reduction devices, which cover the user's ears such that external noise is reduced. The noise reduction devices are coupled together via a strap that fits around the user head. The noise reduction devices are coupled to and house speakers that produce sound signals based on received audio signals. As a result, the user may see the video images produced by the display device and clearly hear the sounds produced by the speaker, and the external noise heard by the user is reduced.





**FIG. 1**





**FIG. 3**

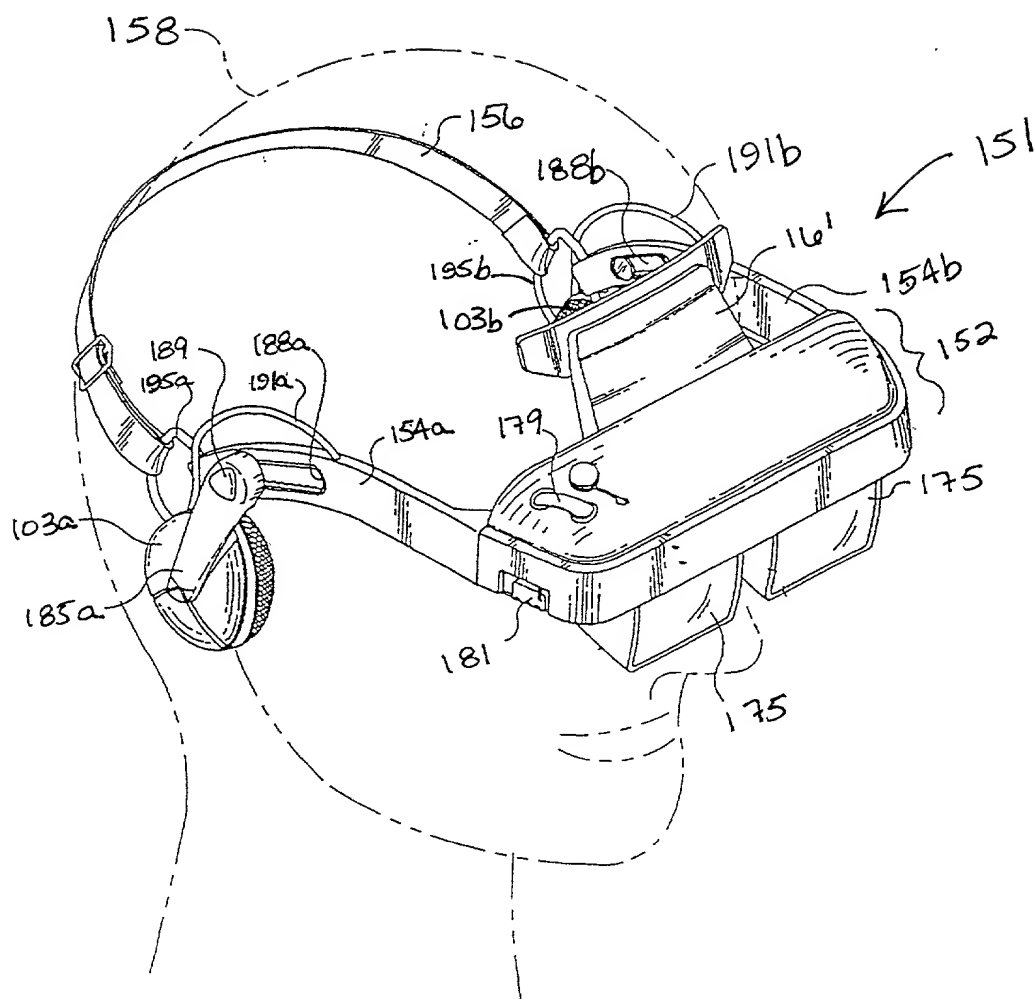


FIG. 4.  
(PRIOR ART)

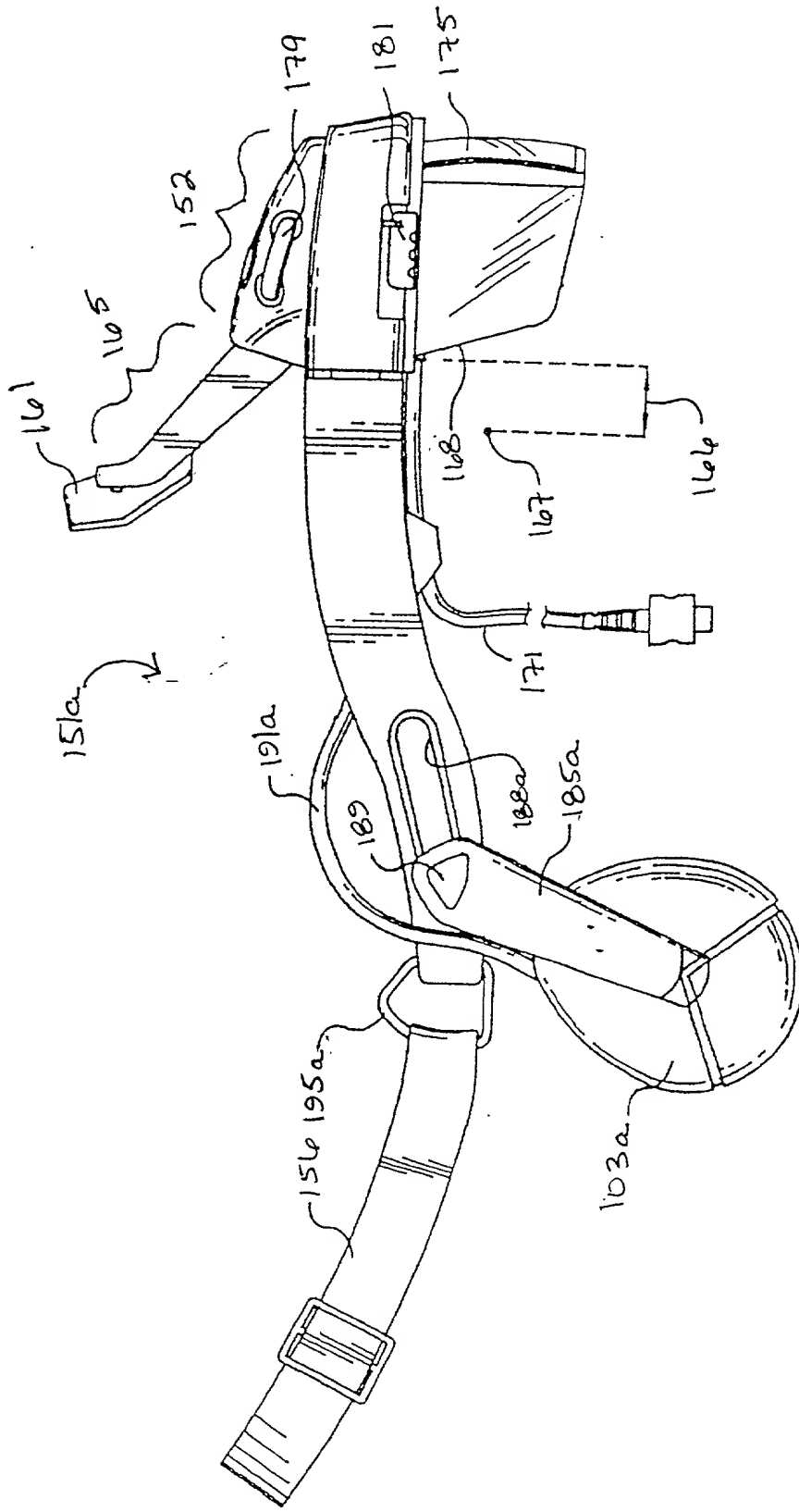


FIG. 5  
(PRIOR ART)

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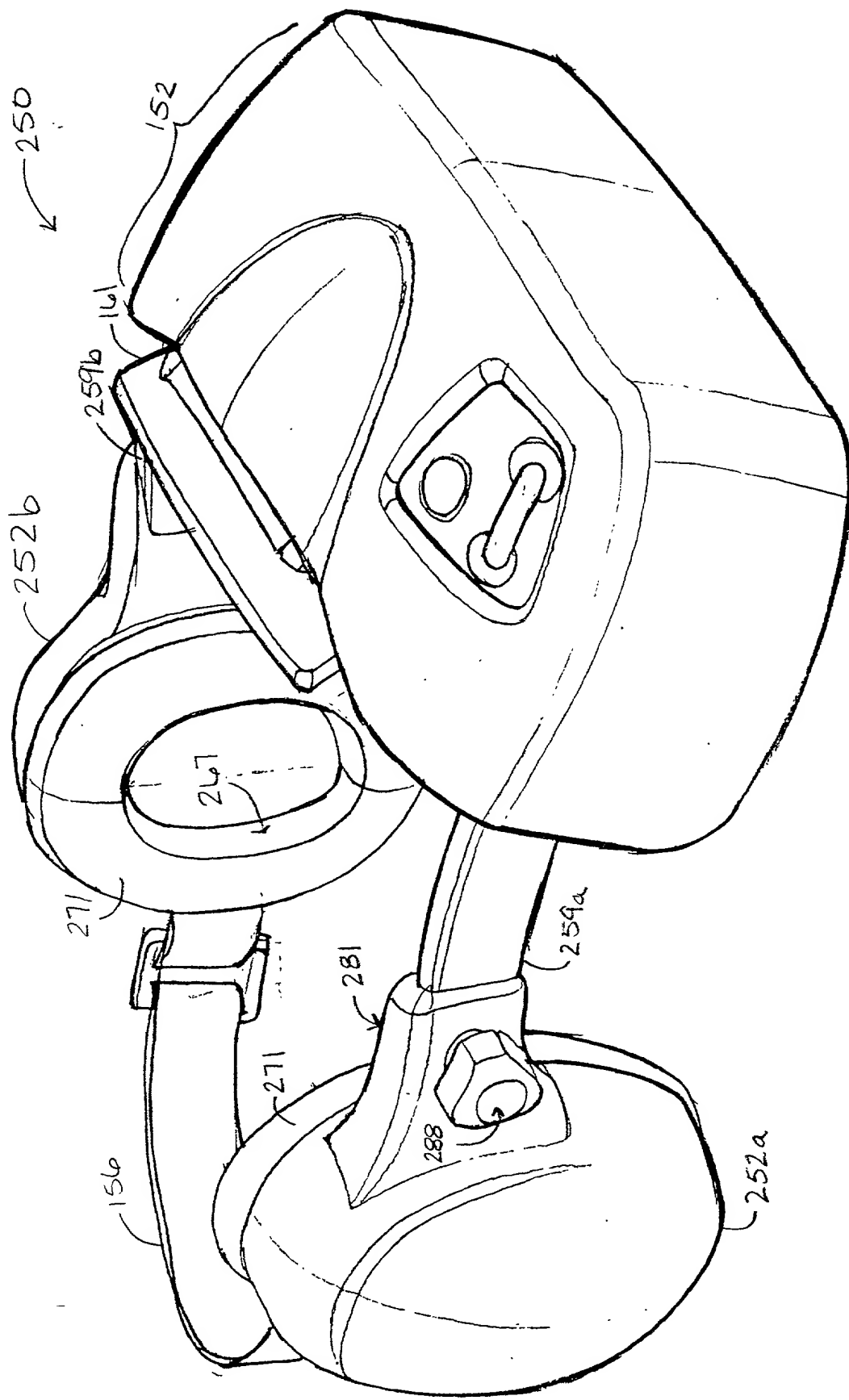


FIG 6

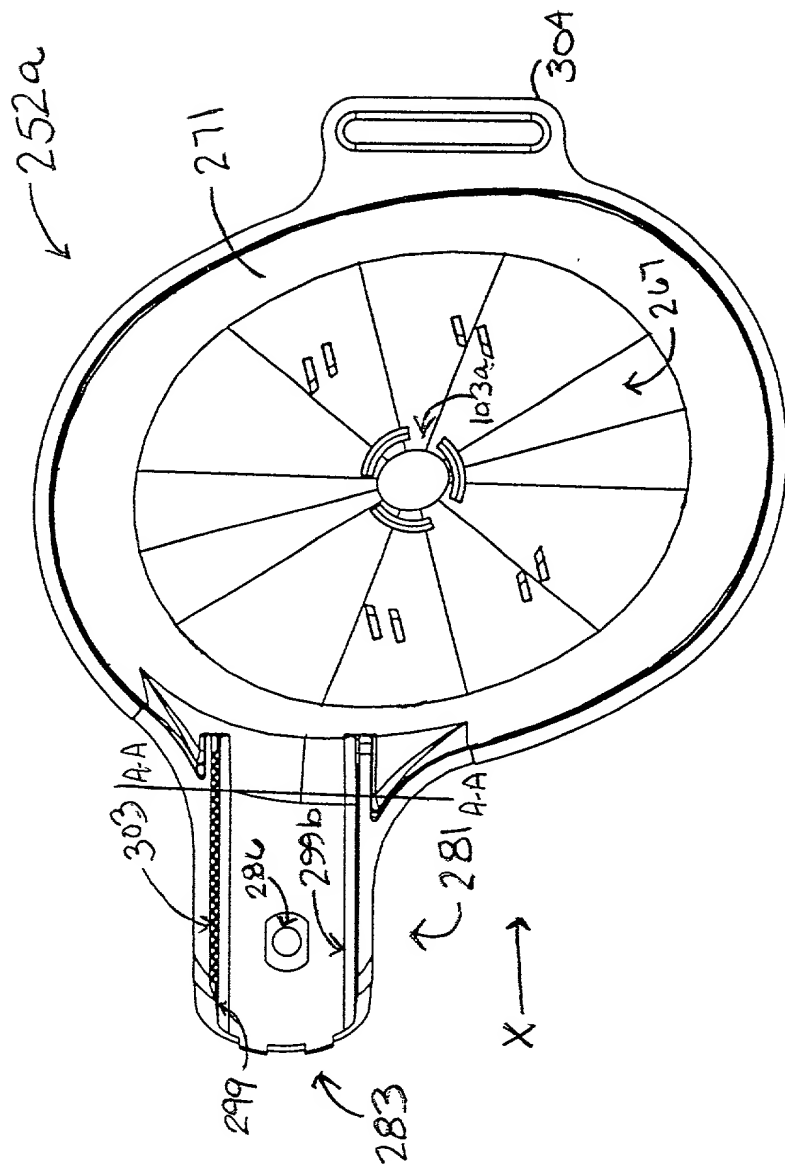


FIG. 7A

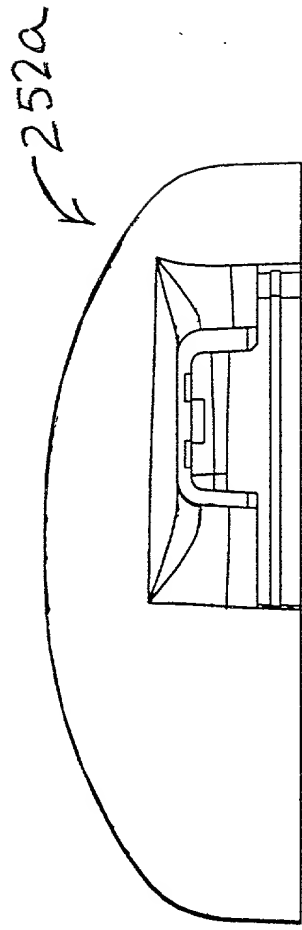


FIG. 7B

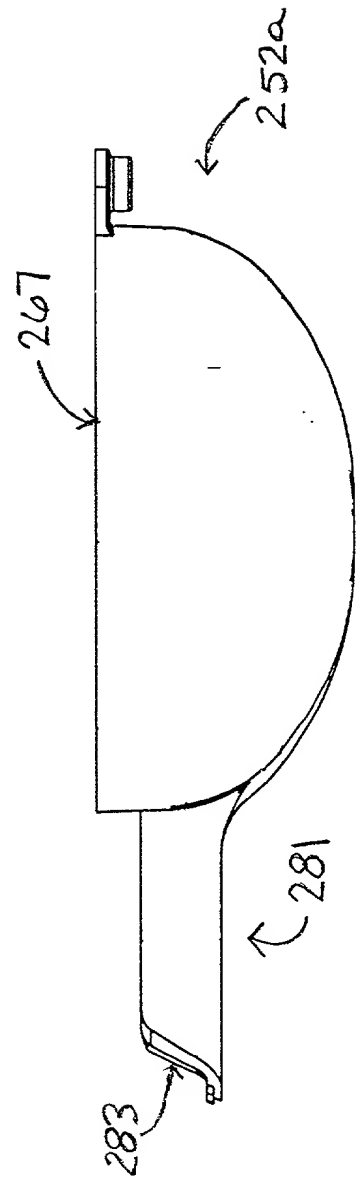


FIG. 7C



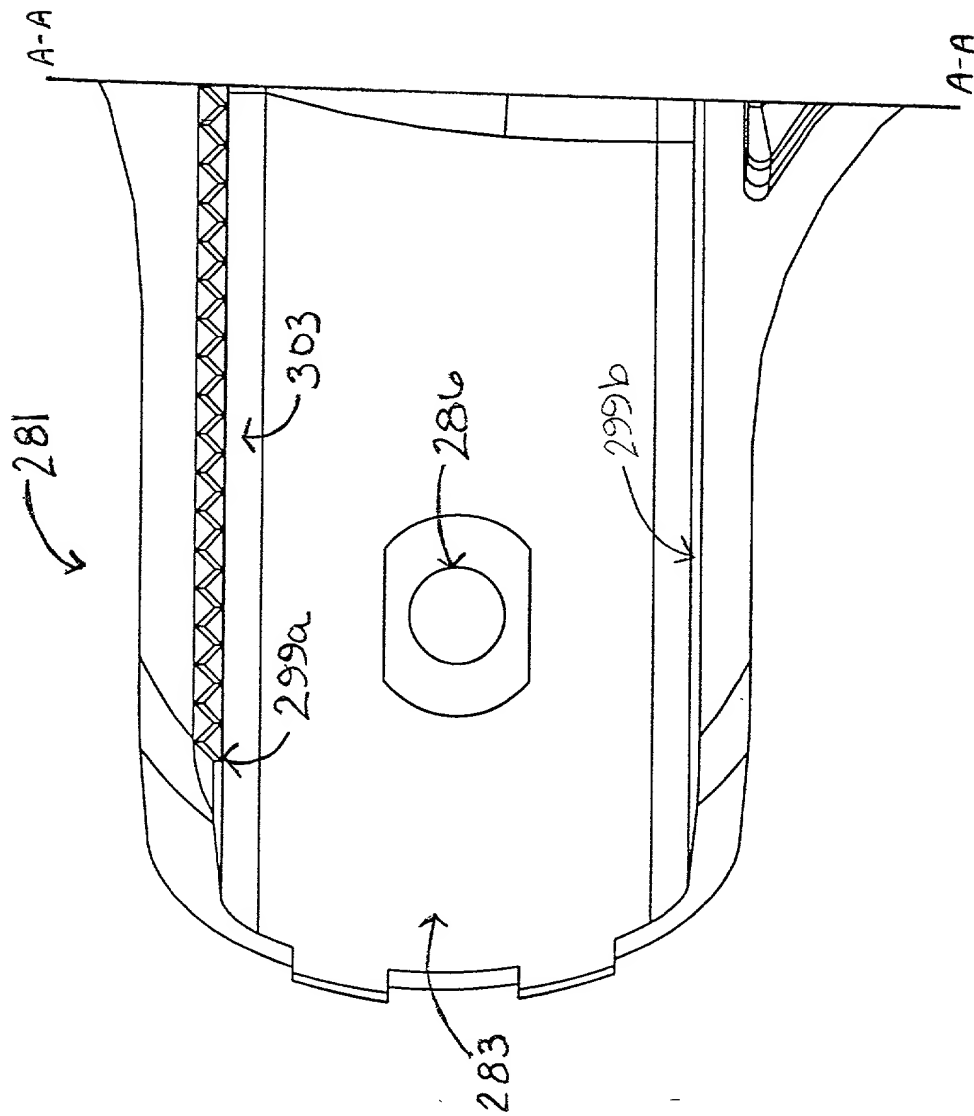


FIG 8A

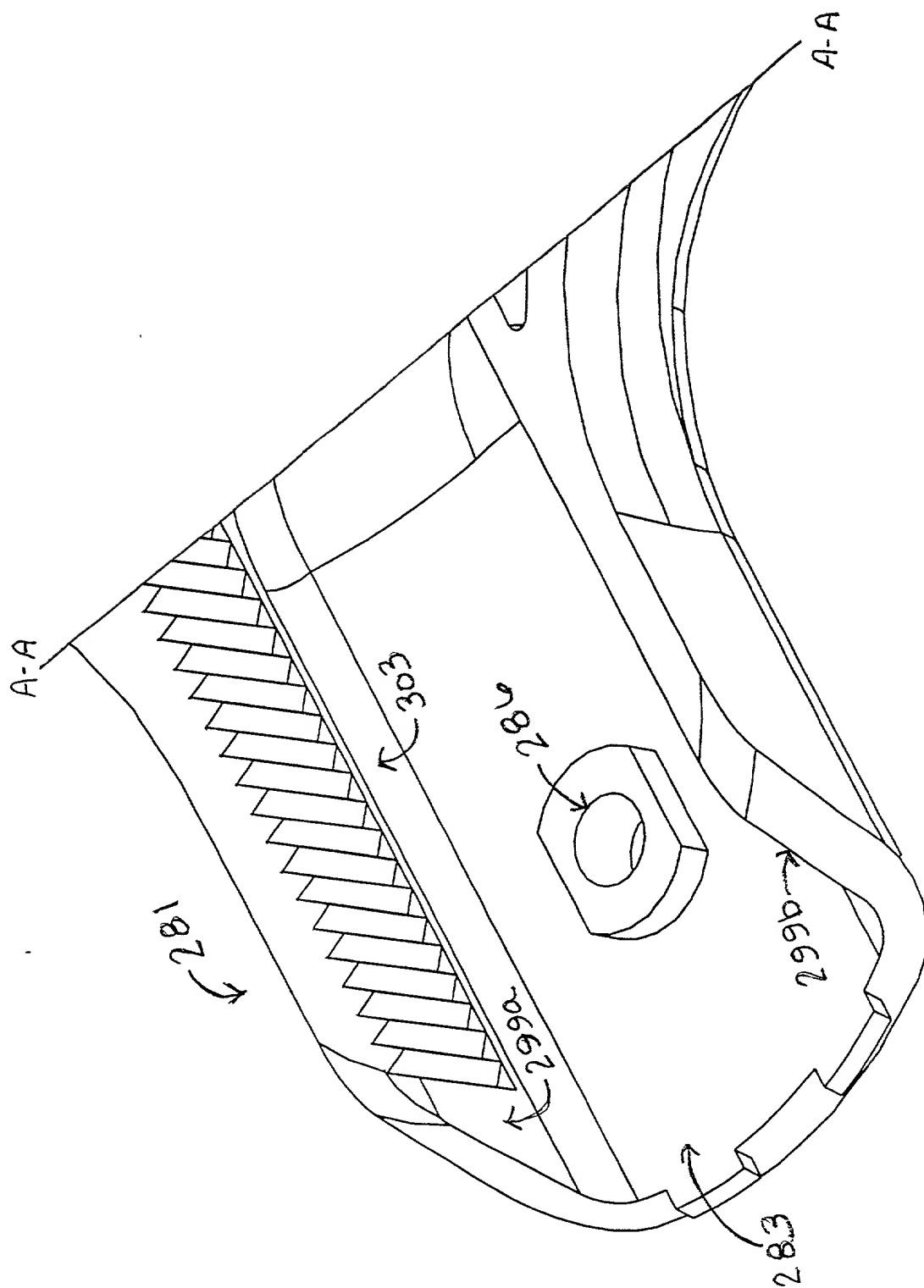


FIG. 8B

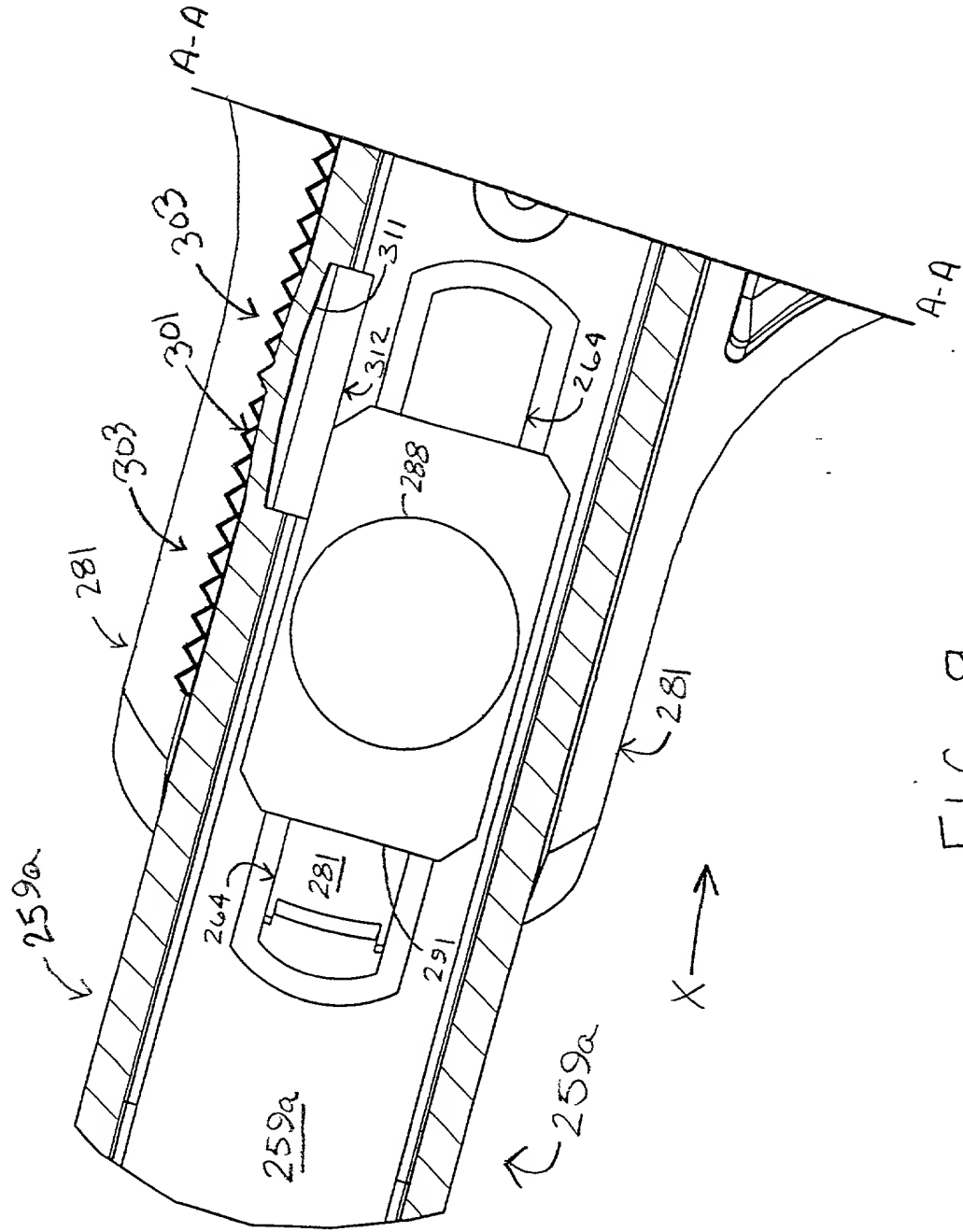
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Fig. 9

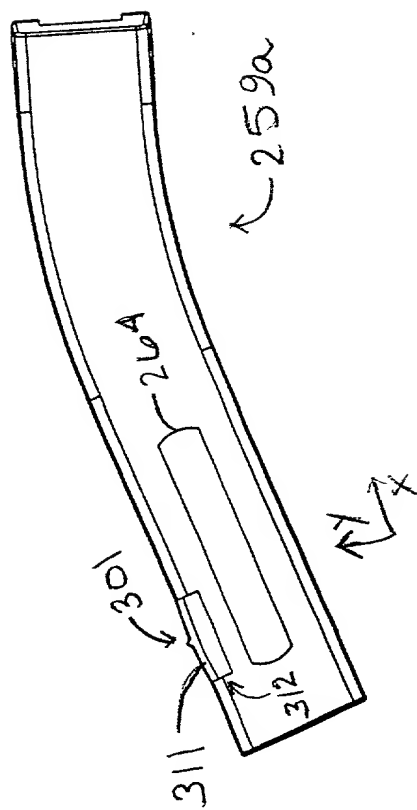


FIG. 10

## DECLARATION FOR PATENT APPLICATION

Attorney Docket No: **011997-1020**

As the below named inventor, I hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **AUDIO/VIDEO SYSTEM AND METHOD UTILIZING A HEAD MOUNTED APPARATUS WITH NOISE ATTENUATION**, the specification of which:

- ☒ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_.
- ☐ was filed on \_\_\_\_\_ under U.S. Express Mail No. \_\_\_\_\_.
- ☐ was described and claimed in PCT International Application No. \_\_\_\_\_; filed on \_\_\_\_\_ and as amended Under PCT Article 19 on \_\_\_\_\_ (if any).

I/we hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/we acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I/we hereby claim the benefit under Title 35, United States Code, §119 of any United States provisional patent application, foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States provisional patent application, foreign application for patent or inventor's certificate having a filing date before that of the above-identified application on which priority is claimed: **U.S. Provisional Application Serial No. 60/123,341 entitled "Audio/Video Signal Distribution System for Head Mounted Displays" filed March 8, 1999 and U.S. Provisional Application Serial No. 60/137,323 entitled "Head Mounted Display with Sound Isolation/Hearing Protector Ear Cup" filed on June 3, 1999**

I/we hereby claim the benefit under Title 35, United States Code, §120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I/we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: **U.S. Patent Application Serial No. 09/322,411 entitled "Video/Audio System and Method Enabling a User to Select Different Views and Sounds Associated with an Event" filed May 28, 1999.**

I/we hereby appoint the following attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: **George M. Thomas, Reg. No. 22,260; James W. Kayden, Reg. No. 31,532; Scott A. Horstemeyer, Reg. No. 34,183; Stephen R. Risley, Reg. No. 35,659; Jeffrey R. Kuester, Reg. No. 34,367; Daniel J. Santos, Reg. No. 40,158; Daniel R. McClure, Reg. No. 38,962; Robert E. Stachler II, Reg. No. 36,934; David P. Kelley, Reg. No. 17,420; Michael J. Tempel, Reg. No. 41,344; Michael J. D'Aurelio, Reg. No. 40,977; David R. Risley, Reg. No. 39,345; Jon E. Holland, Reg. No. 41,077; Dan R. Gresham, Reg. No. 41,805; M. Paul Qualey, Reg. No. 43,024.**

I/we hereby authorize the serial number pertaining to the above-referenced utility application to be added to this document at a later time.

**EXPRESS MAIL NO.**

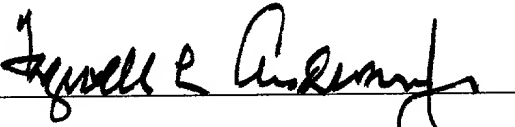
EL445741391US

Please address all telephone calls, in the first instance, to **George M. Thomas** at telephone number: (770) 933-9500.


Address all correspondence to:

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**THOMAS, KAYDEN, HORSTEMEYER**  
**& RISLEY, L.L.P.**  
**100 Galleria Parkway, N.W., Suite 1500**  
**Atlanta, Georgia 30339-5948**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature:  Date: 8/26/99

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Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of Third Inventor: \_\_\_\_\_  
 Residence: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
 Post Office Address: \_\_\_\_\_  
 \_\_\_\_\_

## DECLARATION OF SMALL BUSINESS CONCERN

Tazwell L. Anderson, Jr. declares that he is Managing Partner of Immersion Entertainment, LLC, of 3120 Medlock Bridge Road, Bldg. G, Norcross, Georgia 30071-1469, a Georgia limited liability company, and he is authorized to execute this Declaration on behalf of said corporation.

The following identified application for patent and the invention disclosed therein have been assigned to said corporation:

Inventors: Tazwell L. Anderson, Jr. and Mark A. Wood

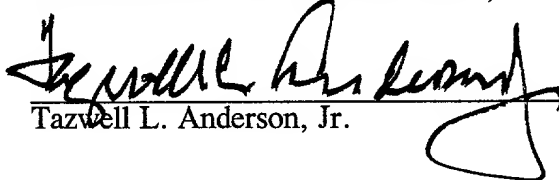
Title: AUDIO/VIDEO SYSTEM AND METHOD UTILIZING A HEAD MOUNTED APPARATUS WITH NOISE ATTENUATION

Said company is a small business concern for the purpose of paying reduced fees to the United States Patent and Trademark Office under 35 U.S.C. 41(a) and (b), in that said company and all of its affiliates employ less than five hundred persons at the present time and averaged less than five hundred employees during each of the pay periods of the last fiscal year. Said company has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention of said application to any person who could not be classified as an independent inventor if that person had made the invention or to any concern which would not qualify as a small business concern or non-profit organization under this Section.

I acknowledge that once said company has assigned, granted, conveyed, or licensed, or comes under an obligation to assign, grant, convey or license, any rights to the invention to anyone who could not likewise obtain status as a small entity, said company will no longer be entitled to pay to the U.S. Patent and Trademark Office in the amount established for a small business concern.

I hereby verify that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of said patent application or any patent resulting therefrom.

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